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Date	June 29, 2005	Client-Matter	74622-040	Fax Transmittal
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From	John C. Stellabotte			
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Company:	United States Patent and Trademark Office - Group Art Unit 3624			Voice No.: (571)272-6748

## Message

Re: Inventor : Lawrence P. Shields, et al.  
 Serial No. : 09/779,114  
 Filing Date : February 8, 2001  
 Title : SYSTEM AND METHOD FOR EXECUTION OF TRADES MADE  
 PURSUANT TO STOCK OPTION...  
 Att'y Docket : 74622-040

Attached please find the following:

- 1) Power of Attorney and Correspondence Address Indication Form
- 2) Statement Under 37 CFR 3.73(b)

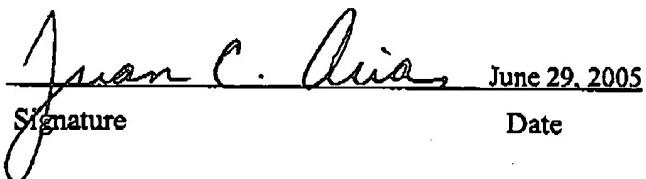
Please contact John C. Stellabotte at (212) 969-3413 if you have any questions.

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U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number.**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Lawrence P. Shields, et al.Application No./Patent No.: 09/779,114 Filed/Issue Date: February 8, 2001Entitled: **SYSTEM AND METHOD FOR EXECUTION OF TRADES MADE PURSUANT TO STOCK OPTION...**

UBS Financial Services, Inc.

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Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to be used by the USPTO in processing an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and completing the estimated application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form or for suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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